



DEPT

PATENT MAINTENANCE  
DIVISION

2006 APR 13 PM 3:51 Docket No.: 3562-0112P  
(PATENT)

US PATENT & TRADEMARK  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Kazunori SUEMOTO et al.

Application No.: 09/757,471

Confirmation No.: 007817

Filed: January 11, 2001

Art Unit: 2615

For: APPARATUS FOR CAPTURING IMAGE, ITS  
METHOD OF RECORDING DATA, AND  
RECORDING MEDIUM

Examiner: T. V. Ho

**REQUEST FOR REFUND**  
**(IMPROPER CHARGE OF DEPOSIT ACCOUNT)**

MS 16  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I. REFUND REQUEST

This is a request for a refund with respect to the charge to Deposit Account 02-2448 shown on the statement for the month of April 2006 for the above-identified

☒ application

☐ patent

☐ A copy of the monthly statement in which the error referred to occurs, accompanies this request.

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## II. FEES CHARGED FOR WHICH REFUND REQUESTED

	AMOUNT OF REFUND REQUESTED
<input type="checkbox"/> filing fee	_____
<input type="checkbox"/> search fee	_____
<input type="checkbox"/> examination fee	_____
<input type="checkbox"/> surcharge for filing the basic filing on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	_____
and/or	
<input type="checkbox"/> surcharge for filing the oath or declaration on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	_____
<input type="checkbox"/> extension of term	
<input type="checkbox"/> first month	_____
<input type="checkbox"/> second month	_____
<input type="checkbox"/> third month	_____
<input type="checkbox"/> fourth month	_____
<input checked="" type="checkbox"/> excess claims	<u>\$360.00</u>
<input type="checkbox"/> issue fee	_____
<input type="checkbox"/> petition fee	_____

Application No.: 09/757,471

Docket No.: 3562-0112P

- ☐ patent maintenance fee \_\_\_\_\_
- ☐ first maintenance fee \_\_\_\_\_
- ☐ second maintenance fee \_\_\_\_\_
- ☐ third maintenance fee \_\_\_\_\_
- ☐ patent maintenance fee surcharge \_\_\_\_\_
- ☐ Other: \_\_\_\_\_
- \_\_\_\_\_

TOTAL REFUND REQUESTED \_\_\_\_\_

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

The Fee for multiple dependent claims was paid at the time of filing on January 11, 2001.

IV. MANNER OF REFUND

Please make refund by crediting Account No. 02-2448.

We respectfully request that the attached copy of this letter be returned to us with an indication that the credit has been processed.


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Application No.: 09/757,471

Docket No.: 3562-0112P

Dated: APR 12 2006

Respectfully submitted,

By  #39,491  
- Michael K. Mutter  
Registration No.: 29,680  
BIRCH, STEWART, KOLASCH & BIRCH, LLP  
8110 Gatehouse Road  
Suite 100 East  
P.O. Box 747  
Falls Church, Virginia 22040-0747  
(703) 205-8000  
Attorney for Applicant

Attachment(s)

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Papers Filed herewith on: January 11, 2001  
DOCKET NO.: 3562-0112P

ATTY.: Suemoto et al.

APPLICANT(S): Suemoto et al.

APPLN. NO.: 1-11-01 FILED: 1-11-01

PAT NO.: 11111

☒ New Application with Transmittal Letter

☒ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional

☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV

☐ Filing Under 37 CFR 1.53(d) (CPA) 36 pages

☒ Specification Consisting of: 36

☒ Combined Declaration & Power of Attorney

☒ Assignment / Cover Letter

☐ Letter to Official Draftsman

☒ Drawings 5 Sheets ☒ Formal ☐ Informal ☐ Red-ink

☐ Completion of Filing Requirements, PCT/DO/EO/905

☐ Formalities Letter and Executed Declaration

☒ Priority Document(s) / Cover Letter, No. Doc. 1

☐ Amendment:

☐ Transmittal Ltr ☐ Large Entity ☐ Small Entity

☐ Response 1 rel(s)

☒ Information Disc Stiml. PTO-1449(s) ☐ Appeal Brief

☐ Notice of Appeal

☐ Issue Fee Transmittal

☒ FEES: \$1344.00 (ck # 6017317)

☐ Letter:

☐ Other:

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case.

COMMISSIONER OF PATENTS AND TRADEMARKS

Due Date: 1-11-01

Handcarry: 503124

DOCKET NO. 3562-0112P

Papers Filed herewith on: January 11, 2001  
DOCKET NO.: 3562-0112P

ATTY.: Suemoto et al.

APPLICANT(S): Suemoto et al.

APPLN. NO.: 1-11-01 FILED: 1-11-01

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☐ Notice of Appeal

☐ Issue Fee Transmittal

☒ FEES: \$1344.00 (ck # 6017317)

☐ Letter:

☐ Other:

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COMMISSIONER OF PATENTS AND TRADEMARKS

Due Date: 1-11-01

Handcarry: 503124

DOCKET NO. 3562-0112P

3562-0112P

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Papers Filed herewith on: January 11, 2001

DOCKET NO.: 3562-0112P

ATTY.: 111/111

APPLICANT(S): SUEMOTO et al.

APPLN. NO.: NEW FILED: 1-11-01

PAT NO.: \_\_\_\_\_

☒ New Application with Transmittal Letter

☒ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional

☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV

☐ Filing Under 37 CFR 1.53(d) (CPA)

☒ Specification Consisting of: 36 pages

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☒ Drawings 5 Sheets ☒ Formal ☐ Informal ☐ Red-Ink

☐ Completion of Filing Requirements, PCT/DO/EO/905

or Formalities Letter and Executed Declaration

☒ Priority Document(s) / Cover Letter, No. Doc. 1

☐ Amendment:

☐ Transmtd Ltr ☐ Large Entity ☐ Small Entity

☐ Response

☒ Information Disc. Stmt. PTO-1449(s) 1 rel(s)

☐ Notice of Appeal ☐ Appeal Brief

☐ Issue Fee Transmittal

☒ FEES: \$1344.00

☐ Letter: \_\_\_\_\_

☐ Other: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Receipt is hereby acknowledged of the papers filed as

indicated in connection with the above identified case.

COMMISSIONER OF PATENTS AND TRADEMARKS

Due Date: 1-11-01

Handcarry: 503124

DOCKET NO. 3562-0112P

BIRCH, STEWART, KOLASCH AND BIRCH, LLP

CPO ACCOUNT  
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P.O. BOX 747  
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SunTrust Bank  
Alexandria, Virginia

68-107  
560

6017317

PAY \_\_\_\_\_ DOLLARS

One Thousand, Three Hundred, Forty-Four & 00/100 Dollars -----

TO THE ORDER OF	COMMISSIONER OF PATENTS AND TRADEMARKS	I.D. NO.	DATE	AMOUNT
			01/11/01	\$1,344.00
				=====

FOR FILING/RECORDING FEE 503124 3562-0112P smp SUEMOTO, Kazunori et

⑈06017317⑈ ⑈056001079⑈ 202564983⑈

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ALBERT K. LEE  
HARRY A. SAYADIAN, PH.D.  
EVE L. FRANK, PH.D.  
MATTHEW T. SHANLEY

Date: January 11, 2001

Docket No.: 3562-0112P

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): SUEMOTO, Kazunori  
MATSUI, Seiichi

For: APPARATUS FOR CAPTURING IMAGE, ITS METHOD OF RECORDING  
DATA, AND RECORDING MEDIUM

Enclosed are:

X A specification consisting of 36 pages

X 05 sheet(s) of Formal drawings

X An assignment of the invention - \$40.00 Recording Fee

X Certified copy of Priority Document(s)

X Executed Declaration X Original      Photocopy

     Applicant claims small entity status in accordance with 37 CFR 1.27

     Application Data Sheet in accordance with 37 C.F.R. 1.76

Available Copy

- ☐ Preliminary Amendment  
☒ Information Disclosure Statement, PTO-1449 and reference(s)  
☐ Other \_\_\_\_\_  
☐ Applicant requests early publication

The filing fee has been calculated as shown below:

LARGE ENTITY				SMALL ENTITY			
FOR	NO. FILED	NO. EXTRA	RATE FEE		RATE FEE		
BASIC FEE	***** ***** *****	***** ***** *****	***** ***** \$710.00 *****	or	***** ***** \$355.00 *****		
TOTAL CLAIMS	38 - 20 =	18	x18 =\$ 324.00	or	x 9 = \$	0.00	
INDEPENDENT	3 - 3 =	0	x80 =\$ 0.00	or	x 40 = \$	0.00	
MULTIPLE DEPENDENT CLAIM PRESENTED <u>yes</u>			+270 = \$270.00	or	+135 = \$	0.00	
TOTAL \$1,304.00				TOTAL \$ 0.00			

☒ A check in the amount of \$1,344.00 to cover the filing fee and recording fee (if applicable) is enclosed.

☐ Please charge Deposit Account No. 02-2448 in the amount of \$\_\_\_\_\_. A triplicate copy of this transmittal form is enclosed.

☐ No fee is enclosed.

Please send correspondence to:

BIRCH, STEWART, KOLASCH & BIRCH, LLP or Customer No. 2292  
 P.O. Box 747  
 Falls Church, VA 22040-0747  
 Telephone: (703) 205-8000

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3562-0112P

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. 1.16 or under 37 C.F.R. 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By 

MICHAEL R. MUTTER

Reg. No. 29,680

P. O. Box 747

Falls Church, Virginia 22040-0747

(703) 205-8000  
MKM/cqc

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8-Mar	55	1401131 3148-0109T	8521	\$40.00	\$29,910.00
8-Mar	64	9985430 2894-0136P	2252	\$225.00	\$29,685.00
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8-Mar	78	10665532 1381-0302P	1252	\$450.00	\$29,075.00
8-Mar	80	10442920 3313-0977P	1201	\$600.00	\$28,475.00
8-Mar	82	10372796 0941-0676P	1252	\$450.00	\$28,025.00
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8-Mar	158	60672878 0313PUS1/GMM	8007	\$20.00	\$28,165.00
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8-Mar	351	78831277 5338-0101US1	7001	\$325.00	\$26,670.00
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8-Mar	1140	2325557 L5645-01019	7208	\$600.00	\$25,245.00
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9-Mar	26	11058199 0020-5272PUS3	1814	\$130.00	\$25,075.00
9-Mar	26	10533882	9204	(\$50.00)	\$25,125.00
9-Mar	27	11058199 0020-5272PUS3	1814	\$130.00	\$24,995.00
9-Mar	39	11058200 0020-5272PUS2	1814	\$130.00	\$24,865.00
9-Mar	40	11058200 0020-5272PUS2	1814	\$130.00	\$24,735.00
9-Mar	99	10918415 0786-1153	1252	\$450.00	\$24,285.00
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9-Mar	475	10471551	8021	\$40.00	\$24,125.00
9-Mar	615	10516070	8021	\$40.00	\$24,085.00
9-Mar	932	29244278	8021	\$40.00	\$24,045.00
9-Mar	954	11318489 4898-0103PUS1	8021	\$40.00	\$24,005.00
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10-Mar	2	9757471 3562-0112P	1203	\$360.00	\$23,345.00
10-Mar	11	11305713 2750-1622PUS2	8021	\$40.00	\$23,305.00
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10-Mar	52	10536406 0397-0498US1	8021	\$40.00	\$24,035.00
10-Mar	80	10560582 0425-1233PUS1	1614	\$200.00	\$23,835.00
10-Mar	118	10228954 3893-0211P	8021	\$40.00	\$23,795.00
10-Mar	131	9889300 5012-0104PUS1	2401	\$250.00	\$23,545.00

OK  
paid when application was filed (refund)

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**United States  
Patent and  
Trademark Office**

Statement

Month: Mar-06

Account  
Number: 22448

Name: STEWART  
KOLASCH &  
BIRCH

Attention: GATEHOUS

Address: E ROAD

City: CHURCH

State: VA

Zip: 22042

Country: STATES OF  
AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
1-Mar	1	10791819 4299-0122P		1253	(\$1,020.00)	\$19,478.00
1-Mar	4	10500701 0071-0593PUS1		1201	\$200.00	\$19,278.00
1-Mar	5	10500701 0071-0593PUS1		1202	\$100.00	\$19,178.00
1-Mar	6	10500701 0071-0593PUS1		1801	\$790.00	\$18,388.00
1-Mar	7	10500701 0071-0593PUS1		1252	\$450.00	\$17,938.00
1-Mar	15	11362603 1718-0214PUS2		1014	\$300.00	\$17,638.00
1-Mar	16	11338904 0425-0860PUS2		1205	\$250.00	\$17,388.00
1-Mar	16	11362603 1718-0214PUS2		1114	\$500.00	\$16,888.00
1-Mar	17	11362603 1718-0214PUS2		1314	\$600.00	\$16,288.00
1-Mar	18	11362603 1718-0214PUS2		1205	\$100.00	\$16,188.00
1-Mar	21	10031342 3631-0118P		1501	\$1,400.00	\$14,788.00
1-Mar	22	10031342 3631-0118P		8001	\$12.00	\$14,776.00
		REPLENISH				
1-Mar	47	MENT		9203	(\$10,000.00)	\$24,776.00
1-Mar	79	11149347 3562-0142PUS2		8007	\$20.00	\$24,756.00
1-Mar	81	11186868 3562-0142PUS3		8007	\$20.00	\$24,736.00
1-Mar	82	11059681 3562-0142PUS1		8007	\$60.00	\$24,676.00
1-Mar	83	11285317 3562-0142PUS4		8007	\$20.00	\$24,656.00
1-Mar	113	10853163 0941-0967PUS1		2202	\$25.00	\$24,631.00
1-Mar	134	11285315 2832-0287PUS1		8021	\$40.00	\$24,591.00
1-Mar	252	2973239 0110T/STOKES		8503	\$15.00	\$24,576.00
1-Mar	292	11300334		8021	\$40.00	\$24,536.00
1-Mar	294	11284901 0445-0361PUS1		1051	\$130.00	\$24,406.00
1-Mar	298	10500701 0071-0593PUS1		1252	\$450.00	\$23,956.00
1-Mar	299	10500701 0071-0593PUS1		1801	\$790.00	\$23,166.00
1-Mar	344	29237512 4438-0108PUS1		8021	\$40.00	\$23,126.00

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United States Patent and Trademark Office  
- Sales Receipt -

U.S. Application No. 09/757,471  
03/10/2006 BALEXAND 00000001 022448 09757471 Docket No. 3562-0112P  
Sale Ref: 00000001 DA#: 022448 09757471 Art Unit: 2615  
01 FC:1202 300.00 DA Page 19 of 19

Account No. 02-2448 for any additional fees required under 37 C.F.R. §§ 1.16  
or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH &, BIRCH, LLP

By:  #39,491  
Michael K. Mutter  
Reg. No. 29,680

HNS  
MKM/HNS

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

Adjustment date: 05/01/2006 SDIRETA1  
03/10/2006 BALEXAND 00000002 022448 09757471  
01 FC:1203 360.00 CR

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01 FC:1203 360.00 DA